

MEP COMPLIANCE PLAN 2024

ALINTA ENERGY

Non-compliance	Description		
Audit Ref: 2.5 With: Clause 11.2 and Clause 10.6 From: 21-Jan-21 To: 31-Jan-24	A small amount of information in the Registry was inaccurate or updates late. Potential impact: Low Actual impact: Low Audit history: Once previously Controls: Moderate Breach risk rating: 2		
Audit risk rating	Rationale for audit risk rating		
Low	Controls are rated as moderate as there is some improvement that can be made to them. This issues were caused by circumstances outside of ALNT control. Best endeavours were made to ensure compliance and the issues were corrected at the earliest opportunity. There was no impact on settlement therefore audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
Registry information was updated as soon as Alinta was made aware of the error. The original causes of late data entry were due to difficulties in getting inspection done in 2022 due to lack of access to the meters and delays in receiving information from the ATH following inspections and recertifications.		11/4/24	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Registry data is manually updated by Alinta, and the first expiry dates assumed 36 months from date of installation. Greater care required to ensure expiry dates match the dates on the certification records.		11/4/24	

Non-compliance	Description	
Audit Ref: 4.10 With: Clause 3 of Schedule 11.4 From: 21-Jan-21 To: 31-Jan-24	Information updates to the Registry were greater than 10 business days for 2 separate certification events during the audit period. Potential impact: Low Actual impact: Low Audit history: Once previously Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as moderate as there is some improvement that can be made to them. The issue was caused by late receipt of the information from others. There was no impact on settlement therefore audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
Registry updated as soon as relevant information was received from the ATH.	22/8/22	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Maximo Job Plans for the inspections and recertifications have been updated to include registry update requirements.	12/4/24	

Non-compliance	Description		
Audit Ref: 6.2 With: Clause 11.8A From: 21-Jan-21 To: 31-Jan-24	The incorrect metering installation certification expiry dates were recorded in the Registry for ICP 0716190001NSCCC (TA1) and ICP 0716190002NS00C (TA2). Potential impact: Low Actual impact: Low Audit history: None previously Controls: Moderate Breach risk rating: 2		
Audit risk rating	Rationale for audit risk rating		
Low	Controls are rated as moderate as there is some improvement that can be made to them. There was no impact on settlement therefore audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
The registry data was corrected as soon as Alinta was made aware of the error.		11/4/24	Cleared
Preventative actions taken to ensure no further issues will occur		Completion date	
Greater care required to ensure expiry dates match the dates on the certification records.		11/4/24	

Non-compliance	Description		
Audit Ref: 6.4 With: Clause 20 of Schedule 10.7 From: 21-Jan-21 To: 31-Jan-24	The certification for ICP 0716190002NS00C (TA2) was not cancelled when the inspection due in April 2022 was not completed. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	Controls are rated as strong as robust procedures and processes are in place. This issue was caused by circumstances outside of ALNT control. Best endeavours were made to ensure compliance and the issue was corrected at the earliest opportunity. There was no impact on settlement therefore audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
Several workshops were held with the equipment owners to resolve the safety concerns around the perceived arc flash risk associated with an aging 11kV switchboard. Following several risk assessment sessions, the equipment owners assented to conditional access to the switchboard and once the conditions were met, the metering certifications were brought up to date.		15/2/24	Cleared
Preventative actions taken to ensure no further issues will occur		Completion date	
As above.		15/2/24	

Non-compliance	Description		
Audit Ref: 7.1 With: Clause 10.38 (a), clause 1 and clause 15 of Schedule 10.7 From: 21-Jan-21 To: 31-Jan-24	Metering installations at ICPs 0716190001NSCCC (TA1) and 0716190002NS00C (TA2) were not certified when due. Potential impact: Low Actual impact: Low Audit history: Once previously Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	Controls are rated as strong as robust procedures and processes are in place. This issue was caused by circumstances outside of ALNT control. Best endeavours were made to ensure compliance and the issue was corrected at the earliest opportunity. There was no impact on settlement therefore audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
Several workshops were held with the equipment owners to resolve the safety concerns around the perceived arc flash risk associated with an aging 11kV switchboard. Following several risk assessment sessions, the equipment owners assented to conditional access to the switchboard and once the conditions were met, the metering certifications were brought up to date.		15/2/24	Cleared
Preventative actions taken to ensure no further issues will occur		Completion date	
As above.		15/2/24	

Non-compliance	Description		
Audit Ref: 7.15 With: Clause 26(1) of Schedule 10.7 From: 21-Jan-21 To: 31-Jan-24	Meters installations at ICPs 0716190001NSCCC (TA1) and 0716190002NS00C (TA2) were not certified when due. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	Controls are rated as strong as robust procedures and processes are in place. This issue was caused by circumstances outside of ALNT control. Best endeavours were made to ensure compliance and the issue was corrected at the earliest opportunity. There was no impact on settlement therefore audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
Several workshops were held with the equipment owners to resolve the safety concerns around the perceived arc flash risk associated with an aging 11kV switchboard. Following several risk assessment sessions, the equipment owners assented to conditional access to the switchboard and once the conditions were met, the metering certifications were brought up to date.		15/2/24	Cleared
Preventative actions taken to ensure no further issues will occur		Completion date	
As above.		15/2/24	

Non-compliance	Description		
Audit Ref: 8.2 With: Clause 46(1) of Schedule 10.7 From: 21-Jan-21 To: 31-Jan-24	The inspection for ICP 0716190002NS00C (TA2) was not completed when the inspection due in April 2022. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	Controls are rated as strong as robust procedures and processes are in place. This issue was caused by circumstances outside of ALNT control. Best endeavours were made to ensure compliance and the issue was corrected at the earliest opportunity. There was no impact on settlement therefore audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
Several workshops were held with the equipment owners to resolve the safety concerns around the perceived arc flash risk associated with an aging 11kV switchboard. Following several risk assessment sessions, the equipment owners assented to conditional access to the switchboard and once the conditions were met, the metering certifications were brought up to date.		15/2/24	Cleared
Preventative actions taken to ensure no further issues will occur		Completion date	
As above.		15/2/24	

Non-compliance	Description		
Audit Ref: 8.3 With: Clause 44(5) of Schedule 10.7 From: 21-Jan-21 To: 31-Jan-24	The inspection for ICP 0716190002NS00C (TA2) was not completed when due in April 2022. Consequently, no information verification occurred as required. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	Controls are rated as moderate as procedures and processes could be improved. This issue was caused by circumstances outside of ALNT control. Best endeavours were made to ensure compliance and the issue was corrected at the earliest opportunity. There was no impact on settlement therefore audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
Several workshops were held with the equipment owners to resolve the safety concerns around the perceived arc flash risk associated with an aging 11kV switchboard. Following several risk assessment sessions, the equipment owners assented to conditional access to the switchboard and once the conditions were met, the metering certifications were brought up to date.		15/2/24	Cleared
Preventative actions taken to ensure no further issues will occur		Completion date	
As above.		15/2/24	