

Compliance Plan Kinetic Networks Ltd – 2024

Timeliness of Provision of Initial Electrical Connection Date		
Non-compliance	Description	
Audit Ref: 3.5 With: Clause 7(2A) of Schedule 11.1 From: 01-May-22 To: 08-Feb-24	Four late initial electrical connection date updates. Potential impact: Low Actual impact: Low Audit history: Once Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as strong as the late updates were related to the late transfer of ICPs to EDCL and the confirmation of information prior to the transfer. The audit risk rating is low because non-compliance has no direct impact on submission but does limit the ability of traders to validate their active dates.	
Audit Comments for reference	Completion date	Remedial action status
These updates, as noted by Provera, were delayed due to actions on other participants and therefore outside of Tenco's control.	During audit period	Cleared
Preventative actions taken to ensure no further issues will occur	Completion date	
We continue to follow up with traders and MEPs where we find discrepancies or where updates have not been made. Additionally, we have requested a review of the DS-010 transfer process, due to the recent delays in processing timeframes.	Ongoing	

Changes to registry information		
Non-compliance	Description	
Audit Ref: 4.1 With: Clause 8 Schedule 11.1 From: 08-Aug-22 To: 23-Nov-23	29 late network event updates. 12 late pricing event updates. 32 late NSP event updates. Potential impact: Low Actual impact: Low Audit history: Multiple times previously Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as strong, as the majority of late updates were related to the late transfer of ICPs to EDCL and the correction of information incorrectly populated at the time of transfer. The audit risk rating is low due to the low number of late updates during the audit period.	
Actions taken to resolve the issue	Completion date	Remedial action status
In general, we make updates as soon as we become aware of the requirement. Events are only back dated if there is a valid reason, that would otherwise distort accuracy or affect reconciliation.	During audit period	Cleared
Preventative actions taken to ensure no further issues will occur	Completion date	
We have noted an increase in the late processing of DS010 files by the EA. We have requested a review of the DS-010 transfer process, due to the recent delays in processing timeframes.	Ongoing	

Notice of supporting embedded network NSP information		
Non-compliance	Description	
Audit Ref: 6.4 With: 26(4) Schedule 11.1 From: 01-Oct-23 To: 26-Mar-24	The LE ICP for EVL0011 was not provided to the reconciliation manager at least one month before the start date. Potential impact: Low Actual impact: None Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as strong because almost all information was provided on time. The audit risk rating is low because all other required information was provided on time.	
Actions taken to resolve the issue	Completion date	Remedial action status
In most instances we provide all other NSP information to the RM in accordance with the 30-day rule. Where we are reliant on parent networks issuing LE ICPs, we often experience delays. This sometimes puts it outside of our control to update LE ICP information within the required timeframe.	During audit period	Cleared
Preventative actions taken to ensure no further issues will occur	Completion date	
We continue to work with parent networks to provide all information they require to issue ICPs in a timely manner. The process can often take 2-3 months and require multiple delays to the start date for new embedded networks, as without an LE ICP we are unable to install gate metering at the MSB.	Ongoing	

Responsibility for metering information for NSP that is not a POC to the grid		
Non-compliance	Description	
Audit Ref: 6.8 With: 10.25(1) and 10.25(3) From: 29-Nov-23 To: 04-Mar-24	Metering installation certification details were not provided to the reconciliation manager within 20 business days of certification for EMT0011. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are moderate, because the delays are primarily caused by the MEP being unable to provide information on time. The impact is low because there no impact on reconciliation.	
Actions taken to resolve the issue	Completion date	Remedial action status
We have found the late certification of some of these meters is due to low load situations at the outset of new developments, which prevents load checks from being carried out. Once site load is at the required level, the MEP will return to site to complete certification. Hence the delayed paperwork.	During audit period	Cleared
Preventative actions taken to ensure no further issues will occur	Completion date	
We continue to follow up with MEPs for paperwork to ensure their records match up with ours.	Ongoing	