

Compliance Plan NZTA South Canterbury DUML 2024

Deriving submission information			
Non-compliance	Description		
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3 From: 29-Feb-20 To: 30-Jan-24	The data used for submission does not track changes at a daily basis and is provided as a snapshot. Potential impact: Medium Actual impact: Low Audit history: Multiple times previously Controls: Moderate Breach risk rating: 2		
Audit risk rating	Rationale for audit risk rating		
Low	The controls are rated as moderate, because change dates are recorded accurately within the database itself, but the database extract provided is a snapshot. The impact is assessed to be low because it is likely only part of a month's data will be affected where a change occurs and there are few changes made.		
Actions taken to resolve the issue		Completion date	Remedial action status
An additional field has been added into RAMM for when a light is enlivened. This will also assist in process management when the pole is assigned an ICP as well as when lights are removed, upgraded etc. The daily changes will then be provided as an additional spreadsheet, or in the email sent out monthly.		30/04/2024	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Meridian will continue to liaise with the customer to ensure the new process implemented is complete.		30/07/2024	

Volume information accuracy		
Non-compliance	Description	
Audit Ref: 3.2 With: Clause 15.2 and 15.37B(c) From: 29-Feb-20 To: 30-Jan-24	The data used for submission does not track changes at a daily basis and is provided as a snapshot. Potential impact: Medium Actual impact: Unknown Audit history: Multiple times previously Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as moderate, because change dates are recorded accurately within the database itself, but the database extract provided is a snapshot. The impact is assessed to be low because it is likely only part of a month's data will be affected where a change occurs and there are few changes made.	
Actions taken to resolve the issue	Completion date	Remedial action status
An additional field has been added into RAMM for when a light is enlivened. This will also assist in process management when the pole is assigned an ICP as well as when lights are removed, upgraded etc. The daily changes will then be provided as an additional spreadsheet, or in the email sent out monthly.	30/04/2024	Identified
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