

Compliance plan for One NZ DUML 2024

Deriving submission information		
Non-compliance	Description	
Audit Ref: 2.1 With: 11(1) of Schedule 15.3 From: 01-Nov-23 To: 02-May-24	Under submission of 512,664 kWh per annum due to incorrect daily kWh values recorded in the registry for 12 ICPs. Potential impact: High Actual impact: High Audit history: Twice Controls: Strong Breach risk rating: 3	
Audit risk rating	Rationale for audit risk rating	
High	The controls in place are rated as strong because Meridian has updated the registry to the correct daily kWh figures at the time of the audit. The impact is assessed to be high based on the under submission of 512,664 kWh per annum.	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian confirms that changes made to the registry on 1 Nov 2023 were not reflected in the Meridian billing to One NZ Group Limited. The changes made to the registry were a change of the daily kWh loads without the application of the 72% adjustment factor. One NZ was not under billed for the 12 ICP's. The total daily kWh's invoiced were around 7006 kWh and unchanged from the submission to the registry on 1 Nov 2023 for a total of 5357 kWh's daily. If the lower kWh amounts were invoiced, Smart Power advised they would have highlighted this to the Meridian billing team then. With the correction of submission to the registry on 2 May 2024 for 6762 daily kWh, Meridian will back date and rebill One NZ and submit the correction of consumption.	2/06/2024	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	

Volume information accuracy		
Non-compliance	Description	
Audit Ref: 3.2 With: 15.2 and 15.37B(c) From: 01-Nov-23 To: 02-May-24	Under submission of 512,664 kWh per annum due to incorrect daily kWh values recorded in the registry for 12 ICPs. Potential impact: High Actual impact: High Audit history: Twice Controls: Strong Breach risk rating: 3	
Audit risk rating	Rationale for audit risk rating	
High	The controls in place are rated as strong because Meridian has updated the registry to the correct daily kWh figures at the time of the audit. The impact is assessed to be high based on the under submission of 512,664 kWh per annum.	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>Meridian confirms that changes made to the registry on 1 Nov 2023 were not reflected in the Meridian billing to One NZ Group Limited.</p> <p>The changes made to the registry were a change of the daily kWh loads without the application of the 72% adjustment factor.</p> <p>One NZ was not under billed for the 12 ICP's. The total daily kWh's invoiced were around 7006 kWh and unchanged from the submission to the registry on 1 Nov 2023 for a total of 5357 kWh's daily.</p> <p>If the lower kWh amounts were invoiced, Smart Power advised they would have highlighted this to the Meridian billing team then.</p> <p>With the correction of submission to the registry on 2 May 2024 for 6762 daily kWh, Meridian will back date and rebill One NZ and submit the correction of consumption.</p>	2/06/2024	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	