

Compliance Plan – Mount Cook DOC DUML 2024

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clauses 11(2A) of Schedule 15.3 From: unknown To: 12-Jun-24	One additional item of load found in the field. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time but there is room for improvement. The impact on settlement and participants is minor; therefore, the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
The additional light has now been updated in our spreadsheet and the daily kWh figure has also been updated in the registry	11/07/2024	Cleared
Preventative actions taken to ensure no further issues will occur	Completion date	
The additional light has now been updated in our spreadsheet and the daily kWh figure has also been updated in the registry	11/07/2024	