

Compliance Plan Christchurch NZTA DUML 2024

Deriving submission information		
Non-compliance	Description	
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3 From: 01-Apr-22 To: 15-May-24	The variability of results when populated into the database auditing tool indicates that the database may not be accurate to within 5% per annum. R_L is 94.6% and R_H is 105.3%. In absolute terms, the total annual consumption is estimated to be 700 kWh per annum lower than the database indicates. The data used for submission does not track changes at a daily basis and is provided as a snapshot. Potential impact: Low Actual impact: Low Audit history: Twice Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as strong because they mitigate risk to an acceptable level. The impact is assessed to be low, based on the kWh differences described above.	
Actions taken to resolve the issue		Completion date
Orion confirms that the inaccuracies identified have been corrected.		20/05/2024
Preventative actions taken to ensure no further issues will occur		Completion date
Processes are in place to account for historical database corrections that have a material impact on settlement volumes.		Ongoing
Remedial action status		
Identified		

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 01-Apr-22 To: 15-May-24	Six additional lights were identified in the field. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as strong because they mitigate risk to an acceptable level. The impact is assessed to be low, based on the low quantity of additional lights.	
Actions taken to resolve the issue	Completion date	Remedial action status
Orion confirms that the inaccuracies identified have been corrected.	20/05/2024	Cleared
Preventative actions taken to ensure no further issues will occur	Completion date	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 01-Apr-22</p> <p>To: 15-May-24</p>	<p>The variability of results when populated into the database auditing tool indicates that the database may not be accurate to within 5% per annum. R_L is 94.6% and R_H is 105.3%.</p> <p>In absolute terms, the total annual consumption is estimated to be 700 kWh per annum lower than the database indicates.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Twice</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as strong because they mitigate risk to an acceptable level.</p> <p>The impact is assessed to be low, based on the kWh differences described above.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Orion confirms that the inaccuracies identified have been corrected.	20/05/2024	Cleared
Preventative actions taken to ensure no further issues will occur	Completion date	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 12-May-20</p> <p>To: 04-Apr-22</p>	<p>The variability of results when populated into the database auditing tool indicates that the database may not be accurate to within 5% per annum. R_L is 94.6% and R_H is 105.3%.</p> <p>In absolute terms, the total annual consumption is estimated to be 700 kWh per annum lower than the database indicates.</p> <p>The data used for submission does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Twice</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as strong because they mitigate risk to an acceptable level.</p> <p>The impact is assessed to be low, based on the kWh differences described above.</p>	
Actions taken to resolve the issue		Completion date
Orion confirms that the inaccuracies identified have been corrected.		20/05/2024
Preventative actions taken to ensure no further issues will occur		Completion date
Processes are in place to account for historical database corrections that have a material impact on settlement volumes.		Ongoing
Remedial action status		
Identified		