

Compliance plan for NZTA Tasman DUML 2024

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 14-Aug-21</p> <p>To: 28-Mar-24</p>	<p>ICP 0000090011NT142 incorrectly reconciled as standard unmetered load under the RPS profile.</p> <p>Contact uses the registry distributor unmetered load wattage figure as a monthly snapshot of the total wattage which does not account for changes at a daily level.</p> <p>Database is not confirmed as accurate with a 95% level of confidence. In absolute terms, total annual consumption is estimated to be 59,000 kWh lower than the DUML database indicates.</p> <p>Incorrect wattages for eight items of load resulting in an estimated minor under submission of 316.1kWh per annum.</p> <p>Potential impact: High</p> <p>Actual impact: High</p> <p>Audit history: Multiple times</p> <p>Controls: Weak</p> <p>Breach risk rating: 9</p>	
Audit risk rating	Rationale for audit risk rating	
High	<p>The controls are rated as weak as the database is not being regularly updated with changes made in the field.</p> <p>The audit risk rating indicates that the impact of database inaccuracy is high based on the estimated kWh of over submission.</p>	
Actions taken to resolve the issue		Completion date
Simply to update profile on 0000090011NT142 from RPS to DST		01/05/2024
Preventative actions taken to ensure no further issues will occur		Completion date
Remedial action status		
Identified		

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clauses 11(2A) of Schedule 15.3 From: 14-Aug-21 To: 28-Mar-24	One additional lamp identified in the field of 115 items of load sampled. Potential impact: Low Actual impact: Low Audit history: Three times Controls: Weak Breach risk rating: 3	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as weak as the database is not being regularly updated with changes made in the field. The impact is assessed to be low as only one additional lamp was found in the field in relation to the overall count of the items of load.	
Actions taken to resolve the issue	Completion date	Remedial action status
All discrepancies found will be reviewed and corrected by Network Tasman where necessary	01/06/2024	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	

Database accuracy		
Non-compliance	Description	
Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b) From: 14-Jan-21 To: 28-Mar-24	<p>Database is not confirmed as accurate with a 95% level of confidence. In absolute terms, total annual consumption is estimated to be 59,000 kWh lower than the DUML database indicates. Changes made in the field are not consistently updated in the database.</p> <p>Incorrect wattages for eight items of load resulting in an estimated minor under submission of 316.1kWh per annum.</p> <p>Potential impact: High Actual impact: High Audit history: Multiple times previously Controls: Weak Breach risk rating: 9</p>	
Audit risk rating	Rationale for audit risk rating	
High	<p>The controls are rated as weak as the database is not being regularly updated with changes made in the field.</p> <p>The audit risk rating indicates that the impact of database inaccuracy is high based on the estimated kWh of over submission.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
All discrepancies found will be reviewed and corrected by Network Tasman where necessary	01/10/2024	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 14-Aug-21</p> <p>To: 28-Mar-24</p>	<p>ICP 0000090011NT142 incorrectly reconciled as standard unmetered load under the RPS profile.</p> <p>Contact uses the registry distributor unmetered load wattage figure as a monthly snapshot of the total wattage which does not account for changes at a daily level.</p> <p>Database is not confirmed as accurate with a 95% level of confidence. In absolute terms, total annual consumption is estimated to be 59,000 kWh lower than the DUML database indicates.</p> <p>Incorrect wattages for eight items of load resulting in an estimated minor under submission of 316.1kWh per annum.</p> <p>Potential impact: High</p> <p>Actual impact: High</p> <p>Audit history: Multiple times</p> <p>Controls: Weak</p> <p>Breach risk rating: 9</p>	
Audit risk rating	Rationale for audit risk rating	
High	<p>The controls are rated as weak as the database is not being regularly updated with changes made in the field.</p> <p>The audit risk rating indicates that the impact of database inaccuracy is high based on the estimated kWh of over submission.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Simply to update profile on 0000090011NT142 from RPS to DST	01/05/2024	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	