

Compliance plan for Horowhenua District Council – 2024

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 25-Mar-24</p> <p>To: 27-May-24</p>	<p>The following discrepancies were identified during the audit and corrected:</p> <ul style="list-style-type: none"> • Light IDs 19581 and 19579 had a blank gear make/model and gear wattage, which has no impact on submission based on the LED lamp model recorded. • Light ID 19580 has a blank lamp wattage, which could result in under submission of 17W or 72.6 kWh per annum based on the lamp model recorded. • Light IDs lights 12434 and 14870 have been checked and confirmed to be 42W but were recorded in the database as 22W. The incorrect wattage could lead to under submission of 170.84 kWh per annum. • 60 lights have an incorrect light make and model recorded, but all other details are correct. HDC intends to update these. <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>Controls are strong because the field audit found the database was accurate. A small number of data inconsistencies were identified, and all which had a submission impact were corrected during the audit.</p> <p>The impact is low based on the kWh differences identified.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Genesis continues to work with Horowhenua DC to ensure accuracy of their database.	Continuous Improvement	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
The discrepancies found were corrected during the audit	30/05/2024	

Description and capacity of load		
Non-compliance	Description	
<p>Audit Ref: 2.4</p> <p>With: Clause 11(2)(c) and (d) of Schedule 15.3</p> <p>From: 25-Mar-24</p> <p>To: 27-May-24</p>	<p>Light IDs 19581 and 19579 have a blank gear make/model and gear wattage, which has no impact on submission based on the LED lamp model recorded.</p> <p>Light ID 19580 has a blank lamp wattage, which could result in under submission of 17W or 72.6 kWh per annum based on the lamp model recorded.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Once</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are strong because almost all items of load had lamp information recorded and RAMM was updated during the audit.</p> <p>The risk rating is assessed to be low because there was potential under submission of 72.6 kWh p.a.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Genesis continues to work with Horowhenua DC to ensure accuracy of their database.	Continuous Improvement	Cleared
Preventative actions taken to ensure no further issues will occur	Completion date	
The discrepancies found were corrected during the audit	30/05/2024	

Database accuracy	
Non-compliance	Description
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 25-Mar-24</p>	<p>The following discrepancies were identified during the audit and corrected:</p> <ul style="list-style-type: none"> Light IDs 19581 and 19579 had a blank gear make/model and gear wattage, which has no impact on submission based on the LED lamp model recorded. Light ID 19580 has a blank lamp wattage, which could result in under submission of 17W or 72.6 kWh per annum based on the lamp model recorded. Light IDs lights 12434 and 14870 have been checked and confirmed to be 42W but were recorded in the database as 22W. The incorrect wattage could lead to under submission of 170.84 kWh per annum. 60 lights have an incorrect light make and model recorded, but all other details are correct. HDC intends to update these. <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times</p>

To: 27-May-24	Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	Controls are strong because the field audit found the database was accurate. A small number of data inconsistencies were identified, and all which had a submission impact were corrected during the audit. The impact is low based on the kWh differences identified.		
Actions taken to resolve the issue	Completion date	Remedial action status	
Genesis continues to work with Horowhenua DC to ensure accuracy of their database.	Continuous Improvement	Identified	
Preventative actions taken to ensure no further issues will occur	Completion date		
The discrepancies found were corrected during the audit	30/05/2024		

Volume information accuracy	
Non-compliance	Description
Audit Ref: 3.2 With: Clause 15.2 and 15.37B(c) From: 25-Mar-24 To: 27-May-24	The following discrepancies were identified during the audit and corrected: <ul style="list-style-type: none"> Light IDs 19581 and 19579 had a blank gear make/model and gear wattage, which has no impact on submission based on the LED lamp model recorded. Light ID 19580 has a blank lamp wattage, which could result in under submission of 17W or 72.6 kWh per annum based on the lamp model recorded. Light IDs lights 12434 and 14870 have been checked and confirmed to be 42W but were recorded in the database as 22W. The incorrect wattage could lead to under submission of 170.84 kWh per annum. 60 lights have an incorrect light make and model recorded, but all other details are correct. HDC intends to update these. Potential impact: Low Actual impact: Low Audit history: Multiple times Controls: Strong Breach risk rating: 1
Audit risk rating	Rationale for audit risk rating
Low	Controls are strong because the field audit found the database was accurate. A small number of data inconsistencies were identified, and all which had a submission impact were corrected during the audit. The impact is low based on the kWh differences identified.

Actions taken to resolve the issue	Completion date	Remedial action status
Genesis continues to work with Horowhenua DC to ensure accuracy of their database.	Date	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
The discrepancies found were corrected during the audit	30/05/2024	