

Compliance Plan Mountain Power Distributor 2024

Requirement to provide complete and accurate information			
Non-compliance	Description		
Audit Ref: 2.1 With: Clause 11.2(1) and 10.6(1) From: 16-Nov-10 To: 1-Jul-24	Five ICPs have incorrect initial electrical connection dates recorded. Three ICPs have incorrect network event dates recorded. Six ICPs have incorrect dedicated NSP flags recorded. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2		
Audit risk rating	Rationale for audit risk rating		
Low	The controls are moderate because most information is accurate, but some incorrect event dates and initial electrical connection dates were recorded. The audit risk rating is low because the initial electrical connection date and distributed generation fields do not have a direct impact on submission. The ICPs with incorrect dedicated NSP flags are believed to be "inactive".		
Actions taken to resolve the issue		Completion date	Remedial action status
ICP's with incorrect initial electrical connection dates have been corrected. ICP's with incorrect NSP flags have been corrected. ICP's with incorrect network event dates to be corrected in due course.		24 July 2024	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
All new ICP's to be coded with Y flag for dedicated NSP as new NCA is received. Better understanding of connection date timing to ensure correct dates are recorded.		Ongoing	

Timeliness of Provision of ICP Information to the registry manager	
Non-compliance	Description
Audit Ref: 3.4 With: Clause 7(2) of Schedule 11.1 From: 05-Dec-22 To: 14-Dec-23	ICP 0000010831MO5DE created in 2021 was not moved to "ready" status prior to the 5 December 2022 initial electrical connection date, due to confusion about whether the ICP was still required. Potential impact: Low Actual impact: Low Audit history: Once Controls: Strong Breach risk rating: 1

Audit risk rating	Rationale for audit risk rating		
Low	The controls are recorded as strong, this was an isolated occurrence which occurred due to confusion about whether the ICP was still needed. The impact was low because the ICP was claimed and moved to "active" in time for revision three reconciliation submissions to be completed by the trader.		
Actions taken to resolve the issue		Completion date	Remedial action status
Issue fully resolved. Timing issue caused by delay with new house build led to this confusion.		24 July 2024	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Will not occur again as any ICP with a similar situation in the future will not be amended before discussing needs with end client first.		Ongoing	

Timeliness of Provision of Initial Electrical Connection Date			
Non-compliance	Description		
Audit Ref: 3.5 With: Clause 7(2A) of Schedule 11.1 From: 29-Jun-23 To: 30-Jul-23	ICP 0000010714MOACB had its initial electrical connection date populated 16 business days after the initial electrical connection date. Potential impact: Low Actual impact: Low Audit history: Multiple times Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
Low	The controls are strong because most new ICPs had initial electrical connection dates updated on time. The audit impact is low because the update was six business days late.		
Actions taken to resolve the issue		Completion date	Remedial action status
Nothing required as registry has been updated, albeit 6 days after the due date.		24 July 2024	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
A one off situation whereby correct paperwork was received late leading to non compliance. MOPO has very good processes in place to prevent future late input.		Ongoing	

Changes to registry information			
Non-compliance	Description		
Audit Ref: 4.1 With: Clause 8 Schedule 11.1 From: 05-May-23 To: 19-Dec-23	23 late updates to correct the dedicated NSP flag. Three late additions, removals or changes to generation details for ICPs 0000010004MOF64, 0000013365MOD32 and 0000010125MO770. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 2		
Audit risk rating	Rationale for audit risk rating		
Low	The controls are moderate. All address, pricing and status updates were on time, and most of the late updates were corrections. The impact is low. 23/28 late updates were corrections to the dedicated NSP flag and were made in time for revised submission information to be washed up. The late distributed generation updates do not have a direct impact on submission.		
Actions taken to resolve the issue		Completion date	Remedial action status
Nothing new to add here, all issues have been resolved on time.		24 July 2024	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Ongoing maintenance of the MOPO database will ensure future compliance meets expectations.		24 July 2024	

Distributors to Provide ICP Information to the Registry manager		
Non-compliance	Description	
Audit Ref: 4.6 With: Clause 7(1) Schedule 11.1 From: 5-Apr-17 To: 1-Jul-24	Five ICPs have incorrect initial electrical connection dates recorded. Three ICPs have incorrect network event dates recorded. Six ICPs have incorrect dedicated NSP flags recorded. Potential impact: Low Actual impact: Low Audit history: Multiple times Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are moderate because most information is accurate, but some incorrect event dates and initial electrical connection dates were recorded. The audit risk rating is low because the initial electrical connection date and distributed generation fields do not have a direct impact on submission. The ICPs with incorrect dedicated NSP flags are believed to be "inactive".	

Actions taken to resolve the issue	Completion date	Remedial action status
All issues resolved as of todays date apart from the above ongoing issue.	24 July 2024	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
As previously discussed increased diligence will ensure future non compliance issues will be limited.	Ongoing	