

Compliance plan for Clutha DC DUML and Meridian 2024

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3</p> <p>From: 01-Jul-22 To: 25-Jul-24</p>	<p>The database cannot be confirmed as accurate to within +/- .5% based on the field audit. In absolute terms, total annual consumption is estimated to be 1,100 kWh higher than the DUML database indicates.</p> <p>The data used for submission does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Low Actual impact: Low Audit history: Multiple times previously Controls: Strong Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>Controls are rated as strong, the small number of exceptions indicated that controls are sufficient to ensure that all lamps are recorded accurately in the database most of the time.</p> <p>The impact is assessed to be low based on the estimated impact on kWh.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Clutha District Council has been advised of the inaccuracies. They are currently having field visits to confirm the information before the database is corrected.	15/11/2024	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>Meridian will continue to follow up with Clutha District Council until corrections are complete.</p> <p>Processes are in place to account for historical database corrections that have a material impact on settlement volumes.</p>	<p>15/11/2024</p> <p>Ongoing</p>	

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 01-Jul-22 To: 25-Jul-24	Four additional items of load were found in the field of the 199 items of load sampled. Potential impact: Low Actual impact: Low Audit history: Twice Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as strong because they mitigate risk to an acceptable level. The impact on settlement and participants is minor; therefore, the audit risk rating is low.	
Actions taken to resolve the issue	Completion date	Remedial action status
Clutha District Council has been advised of the inaccuracies. They are currently having field visits to confirm the information before the database is corrected.	15/11/2024	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will continue to follow up with Clutha District Council until corrections are complete.	15/11/2024	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 01-Jul-22</p> <p>To: 25-Jul-24</p>	<p>The database cannot be confirmed as accurate to within +/- .5% based on the field audit. In absolute terms, total annual consumption is estimated to be 1,100 kWh higher than the DUML database indicates.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Three times</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>Controls are rated as strong, the small number of exceptions indicated that controls are sufficient to ensure that all lamps are recorded accurately in the database most of the time.</p> <p>The impact is assessed to be low based on the estimated impact on kWh.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Clutha District Council has been advised of the inaccuracies. They are currently having field visits to confirm the information before the database is corrected.	15/11/2024	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Meridian will continue to follow up with Clutha District Council until corrections are complete.	15/11/2024	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 01-Jul-22</p> <p>To: 25-Jul-24</p>	<p>The database cannot be confirmed as accurate to within +/- .5% based on the field audit. In absolute terms, total annual consumption is estimated to be 1,100 kWh higher than the DUML database indicates.</p> <p>The data used for submission does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times previously</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>Controls are rated as strong, the small number of exceptions indicated that controls are sufficient to ensure that all lamps are recorded accurately in the database most of the time.</p> <p>The impact is assessed to be low based on the estimated impact on kWh.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
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<p>Meridian will continue to follow up with Clutha District Council until corrections are complete.</p> <p>Processes are in place to account for historical database corrections that have a material impact on settlement volumes.</p>	<p>15/11/2024</p> <p>Ongoing</p>	