

Compliance plan for Meridian, Gore DC DUML September 2024

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3</p> <p>From: 17-Jan-24 To: 24-Jul-24</p>	<p>In absolute terms, total annual consumption is estimated to be 36,900 kWh higher than the DUML database indicates.</p> <p>49 items of load with no lamp wattages and/or lamp description resulting in an estimated under submission of 14,684 kWh per annum.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Medium Actual impact: Medium Audit history: Multiple times</p> <p>Controls: Weak Breach risk rating: 6</p>	
Audit risk rating	Rationale for audit risk rating	
Medium	<p>The controls are rated as weak as the processes in place do not mitigate risk to an acceptable level.</p> <p>The impact is assessed to be medium based on the kWh impact on reconciliation.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>Meridian has advised Gore DC of the inaccuracies identified and has requested for corrections to be made.</p> <p>Gore DC are currently in the process of performing field audits to correct the database.</p>	<p>26/07/2024</p> <p>08/03/2025</p>	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>Meridian will continue to follow up with Gore DC regularly to have the inaccuracies corrected.</p> <p>We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month data to identify any material changes and confirm details for these. These are accounted for in monthly submission.</p>	<p>08/03/2025</p> <p>Ongoing</p>	

Description and capacity of load			
Non-compliance	Description		
<p>Audit Ref: 2.4</p> <p>With: Clause 11(2)(c) &(d) of Schedule 15.3</p> <p>From: 17-Jan-24</p> <p>To: 24-Jul-24</p>	<p>49 items of load with no lamp wattages and/or lamp description resulting in an estimated under submission of 14,684 kWh per annum.</p> <p>Potential impact: Medium</p> <p>Actual impact: Medium</p> <p>Audit history: Three times previously</p> <p>Controls: Weak</p> <p>Breach risk rating: 6</p>		
Audit risk rating	Rationale for audit risk rating		
Medium	<p>The controls are rated as weak as the database management processes do not mitigate risk to an acceptable level.</p> <p>The impact is assessed to be medium based on the kWh impact on reconciliation.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>Meridian has advised Gore DC of the inaccuracies identified and has requested for corrections to be made.</p> <p>Gore DC are currently in the process of performing field audits to correct the database.</p>		26/7/2024	
		08/03/2025	
Preventative actions taken to ensure no further issues will occur		Completion date	
<p>Meridian will continue to follow up with Gore DC regularly to have the inaccuracies corrected.</p>		08/03/2025	

All load recorded in database		
Non-compliance	Description	
<p>Audit Ref: 2.5</p> <p>With: Clause 11(2A) of Schedule 15.3</p> <p>From: 17-Jan-24</p> <p>To: 24-Jul-24</p>	<p>Five additional items of load found in the field of 210 items of load sampled.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Four times previously</p> <p>Controls: Weak</p> <p>Breach risk rating: 3</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are rated as weak as the processes in place do not mitigate risk to an acceptable level.</p> <p>The impact is assessed to be low due to five additional lights found in the field in relation to the overall count of the items of load.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>Meridian has advised Gore DC of the inaccuracies identified and has requested for corrections to be made.</p> <p>Gore DC are currently in the process of performing field audits to correct the database.</p>	<p>26/07/2024</p> <p>08/03/2025</p>	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>Meridian will continue to follow up with Gore DC regularly to have the inaccuracies corrected.</p>	08/03/2025	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 17-Jan-24</p> <p>To: 24-Jul-24</p>	<p>In absolute terms, total annual consumption is estimated to be 36,900 kWh higher than the DUML database indicates.</p> <p>49 items of load with lamp wattages and/or lamp description resulting in an estimated under submission of 14,684 kWh per annum.</p> <p>146 fluorescent items of load with the incorrect gear wattage applied resulting in an estimated annual under submission of 5,609 kWh if used to derive the wattage.</p> <p>44 items of load with insufficient light make and model details recorded.</p> <p>Changes made in the field not being updated in the RAMM database.</p> <p>Potential impact: High</p> <p>Actual impact: Medium</p> <p>Audit history: Multiple times previously</p> <p>Controls: Weak</p> <p>Breach risk rating: 6</p>	
Audit risk rating	Rationale for audit risk rating	
Medium	<p>The controls are rated as weak as the kW value process will not mitigate risk to an acceptable level.</p> <p>The impact is assessed to be medium based on the estimated under submission.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>Meridian has advised Gore DC of the inaccuracies identified and has requested for corrections to be made.</p> <p>Gore DC are currently in the process of performing field audits to correct the database.</p>	<p>26/07/2024</p> <p>08/03/2025</p>	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>Meridian will continue to follow up with Gore DC regularly to have the inaccuracies corrected.</p>	08/03/2025	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 17-Jan-24</p> <p>To: 24-Jul-24</p>	<p>In absolute terms, total annual consumption is estimated to be 36,900 kWh higher than the DUML database indicates.</p> <p>49 items of load with no lamp wattages and/or lamp description resulting in an estimated under submission of 14,684 kWh per annum.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Medium</p> <p>Actual impact: Medium</p> <p>Audit history: Multiple times</p> <p>Controls: Weak</p> <p>Breach risk rating: 6</p>	
Audit risk rating	Rationale for audit risk rating	
Medium	<p>The controls are rated as weak as the processes in place do not mitigate risk to an acceptable level.</p> <p>The impact is assessed to be medium based on the kWh impact on reconciliation.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
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