

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 05-Jun-24</p> <p>To: 21-Jul-24</p>	<p>The field audit found that the database is not confirmed as accurate within +/- 5%. In absolute terms, total annual consumption is estimated to be 33,900 kWh lower than the DUML database indicates.</p> <p>Database accuracy issues identified in last audit not corrected, resulting in a nett estimated over submission of 14,773 kWh per annum.</p> <p>One item of load has the incorrect wattage applied in the DUML database which would result in under submission of 42.71 kWh per annum.</p> <p>Potential impact: High</p> <p>Actual impact: Medium</p> <p>Audit history: Multiple times</p> <p>Controls: Weak</p> <p>Breach risk rating: 6</p>	
Audit risk rating	Rationale for audit risk rating	
Medium	<p>Controls are rated as weak as the database has a high level of inaccuracy.</p> <p>The impact is assessed to be medium due to the kWh volumes.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>Genesis and the auditors have continued to recommend a full audit be carried out. NZTA have advised there is no funding for a full field audit to be carried out and due to NZTA going under a new maintenance model change with an expected live date of March 2026, this is very unlikely to take place before then.</p> <p>Genesis continues to notify and provide NZTA with the audit findings and discrepancies identified and has continued to strongly recommend these are investigated and updated in their system.</p>	Continuous Improvement	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	

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Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2 With: Clause 15.2 and 15.37B(c)</p> <p>From: 05-Jun-24 To: 21-Jul-24</p>	<p>The data used for submission does not track changes at a daily basis and is provided as a snapshot. The field audit found that the database is not confirmed as accurate within +/- 5%. In absolute terms, total annual consumption is estimated to be 33,900 kWh lower than the DUMML database indicates.</p> <p>Database accuracy issues identified in last audit not corrected, resulting in a nett estimated over submission of 14,773 kWh per annum.</p> <p>One item of load has the incorrect wattage applied in the DUMML database which would result in under submission of 42.71kWh per annum.</p> <p>Potential impact: High Actual impact: Medium Audit history: Multiple times Controls: Weak Breach risk rating: 6</p>	
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