

# Compliance plan for Genesis Energy Matamata Piako DC , DUML 2024

Deriving submission information			
Non-compliance	Description		
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of schedule 15.3</p> <p>From: 12-Oct-22</p> <p>To: 06-Sep-24</p>	<p>Calculation error for ICP 1000588658PC323 resulting in a very minor estimated over submission of 615 kWh for August 2024.</p> <p>Four private lights recorded in the database and confirmed as not being reconciled elsewhere resulting in an estimated minor under submission of 1,538 kWh per annum.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	<p>The controls are rated as strong as the controls will mitigate risk to an acceptable level.</p> <p>The impact is assessed to be low as the volumes are very minor.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
The District Council and Power Solutions are working with Powerco to ensure the unmetered load of the four private lights are recorded against an ICP in the retirement village so that the consumption is being reconciled.		1/12/2024	Investigating
Preventative actions taken to ensure no further issues will occur		Completion date	
The District Council has strong controls to ensure that any assets connected to the grid for which it should be accountable are recorded and reconciled. There is also continuous work to identify and resolve any historical issues where private lights are being recorded and reconciled by the District Council, working with the residents and other parties to ensure the responsibility of the lights are transferred to them.		Ongoing	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b)</p> <p>From: 01-Jul-22 To: 11-Oct-22</p>	<p>Four private lights recorded in the database and confirmed as not being reconciled elsewhere resulting in an estimated minor under submission of 1,538 kWh per annum.</p> <p>Potential impact: Low Actual impact: Low</p> <p>Audit history: Multiple times Controls: Strong Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>The controls are rated as strong, as the processes to manage the database are robust and mitigate risk to an acceptable level.</p> <p>The impact is assessed to be low as the unsubmitted volume associated with the private lights is very small. .</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
The District Council and Power Solutions are working with Powerco to ensure the unmetered load of the four private lights are recorded against an ICP in the retirement village so that the consumption is being reconciled.	1/12/2024	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
The District Council has strong controls to ensure that any assets connected to the grid for which it should be accountable are recorded and reconciled. There is also continuous work to identify and resolve any historical issues where private lights are being recorded and reconciled by the District Council, working with the residents and other parties to ensure the responsibility of the lights are transferred to them.	Ongoing	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 12-Oct-22</p> <p>To: 06-Sep-24</p>	<p>Calculation error for ICP 1000588658PC323 resulting in a very minor estimated over submission of 615 kWh for August 2024.</p> <p>Four private lights recorded in the database and confirmed as not being reconciled elsewhere resulting in an estimated minor under submission of 1,538 kWh per annum.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>The controls are rated as strong as the controls will mitigate risk to an acceptable level.</p> <p>The impact is assessed to be low as the volumes are very minor.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>The District Council and Power Solutions are working with Powerco to ensure the unmetered load of the four private lights are recorded against an ICP in the retirement village so that the consumption is being reconciled.</p> <p>Genesis has been using the background data to submit monthly consumption, this has caused Genesis to include "Yet to be connected" lights which will not happen going forward.</p>	1/12/2024	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>The District Council has strong controls to ensure that any assets connected to the grid for which it should be accountable are recorded and reconciled. There is also continuous work to identify and resolve any historical issues where private lights are being recorded and reconciled by the District Council, working with the residents and other parties to ensure the responsibility of the lights are transferred to them.</p>	Ongoing	