

# Compliance Plan Tararua DC DUML 2024

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of schedule 15.3</p> <p>From: 01-Jan-24</p> <p>To: 11-Aug-24</p>	<p>The DUML load is submitted using HHR profile, without an exemption in place.</p> <p>Due to an error in calculating the total wattage the submissions for January 2024 to June 2024 added the count of light IDs to the wattage totals (1,369) resulting in over submission of approximately 2,923 kWh over the six months. Mercury confirmed that their data has been corrected and revised submission data will be washed up.</p> <p>LED lights (light IDs 2776 and 2802) have a blank gear wattage when zero is expected.</p> <p>There are festive lights connected in Woodville, Dannevirke and Eketahuna between December and January each year. The wattage of the lights is unknown, they are not recorded in the database, and there is no process to advise Mercury of when the lights are connected and disconnected.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	<p>The controls are moderate because there is room for improvement, particularly for festive lights.</p> <p>The impact is low based on the expected impact on submission volumes, and that revised submission information will be washed up.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>We are in the process of drafting applications for DUML profiles that allow us to submit as HHR, we will submit to the EA as soon as possible. August/September 2024</p> <p>We have corrected the calculation error and revised submission data will be washed up in the coming months.</p> <p>Tararua DC will be working on correcting discrepancies in the database.</p> <p>Regarding festive lights, Tararua DC are investigating with the relevant community boards as need to know the fitting info first. Will then add to RAMM and communicate with Mercury when to include and exclude.</p>	August/September 2024	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
We will continue to work with Tararua DC to ensure that the database and therefore submissions are as accurate as possible.	Ongoing.	

Description and capacity of load		
Non-compliance	Description	
Audit Ref: 2.4 With: Clause 11(2)(c) and (d) of Schedule 15.3 From: 15-Jul-24 To: 15-Jul-24	LED lights (light IDs 2776 and 2802) have a blank gear wattage when zero is expected. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are strong because almost all lights have a lamp and gear wattage populated. There is no impact because a zero wattage is expected.	
Actions taken to resolve the issue	Completion date	Remedial action status
Tararua DC will be working on correcting discrepancies in the database.	August/September 2024	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
We will continue to work with Tararua DC to ensure that the database and therefore submissions are as accurate as possible.	Ongoing	

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of schedule 15.3 From: 01-Jan-24 To: 11-Aug-24	Two additional lights in the field of the 167 items of load sampled. There are festive lights connected in Woodville, Dannevirke and Eketahuna between December and January each year. The wattage of the lights is unknown, they are not recorded in the database, and there is no process to advise Mercury of when the lights are connected and disconnected. Potential impact: Low Actual impact: Low Audit history: Once Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
<b>Low</b>	The controls are moderate because there is room for improvement, particularly for festive lights. The impact is low based on the expected impact on submission volumes.	

Actions taken to resolve the issue	Completion date	Remedial action status
<p>Tararua DC will be working on correcting discrepancies in the database.</p> <p>Regarding festive lights, Tararua DC are investigating with the relevant community boards as need to know the fitting info first. Will then add to RAMM and communicate with Mercury when to include and exclude.</p>	August/September 2024	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
We will continue to work with Tararua DC to ensure that the database and therefore submissions are as accurate as possible.	Ongoing	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 15-Jul-24</p> <p>To: 11-Aug-24</p>	<p>LED lights (light IDs 2776 and 2802) have a blank gear wattage when zero is expected.</p> <p>There are festive lights connected in Woodville, Dannevirke and Eketahuna between December and January each year. The wattage of the lights is unknown, they are not recorded in the database, and there is no process to advise Mercury of when the lights are connected and disconnected.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are moderate because there is room for improvement, particularly for festive lights.</p> <p>The impact is low based on the expected impact on submission volumes, and that revised submission information will be washed up.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>Tararua DC will be working on correcting discrepancies in the database.</p> <p>Regarding festive lights, Tararua DC are investigating with the relevant community boards as need to know the fitting info first. Will then add to RAMM and communicate with Mercury when to include and exclude.</p>	August/September 2024	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
We will continue to work with Tararua DC to ensure that the database and therefore submissions are as accurate as possible.	Ongoing	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 01-Jan-23</p> <p>To: 11-Aug-24</p>	<p>The DUMML load is submitted using HHR profile, without an exemption in place.</p> <p>Due to an error in calculating the total wattage the submissions for January 2024 to June 2024 added the count of light IDs to the wattage totals (1,369) resulting in over submission of approximately 2,923 kWh over the six months. Mercury confirmed that their data has been corrected and revised submission data will be washed up.</p> <p>LED lights (light IDs 2776 and 2802) have a blank gear wattage when zero is expected.</p> <p>There are festive lights connected in Woodville, Dannevirke and Eketahuna between December and January each year. The wattage of the lights is unknown, they are not recorded in the database, and there is no process to advise Mercury of when the lights are connected and disconnected.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as moderate because there is room for improvement, particularly for festive lights.</p> <p>The impact is low based on the expected impact on submission volumes, and that revised submission information will be washed up.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>We are in the process of drafting applications for DUMML profiles that allow us to submit as HHR, we will submit to the EA as soon as possible. August/September 2024</p> <p>We have corrected the calculation error and revised submission data will be washed up in the coming months.</p> <p>Tararua DC will be working on correcting discrepancies in the database.</p> <p>Regarding festive lights, Tararua DC are investigating with the relevant community boards as need to know the fitting info first. Will then add to RAMM and communicate with Mercury when to include and exclude.</p>	August/September 2024	Investigating
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